



<b>Substitute Form PTO-1029</b> (Modified)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Attorney Docket No.</b> 17105-059001 (25886-0095)	<b>Application No.</b> 10/613,474
		<b>Applicant</b> R. Pandey et al.	
		<b>Filing Date</b> July 2, 2003	<b>Group Art Unit</b> 1624

**List of Patents and Publications for Applicant's Information Disclosure Statement**

(37 CFR §1.98(b))

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
MT	A	RE37,180	05/15/01	Mori et al.	514	410	04/29/99
	B	2001/0022970	09/20/01	Dees et al.	424	178.1	03/06/01
	C	2002/0033192	03/21/02	Lindsey et al.	136	263	05/10/01
	D	2002/0049247	04/25/02	Chen	514	410	01/12/01
	E	2002/0087205	07/04/02	Chen	607	88	07/13/01
	F	2002/0127224	09/12/02	Chen	424	130.1	03/04/02
	G	2002/0127230	09/12/02	Chen	424	178.1	07/13/01
	H	2002/0128303	09/12/02	Bellnier et al.	514	410	03/07/01
	I	2002/0198576	12/26/02	Chen et al.	607	88	08/01/02
	J	2003/0018371	01/23/03	Chen	607	88	07/13/01
	K	2003/0030342	02/13/03	Chen et al.	310	102	12/13/01
	L	2003/0109813	06/12/03	Chen	601	2	12/10/02
	M	2003/0114434	06/19/03	Chen et al.	514	185	08/31/99
	N	2003/0167033	09/04/03	Chen et al.	604	20	01/23/03
	O	2003/0208249	11/06/03	Chen	607	88	04/08/03
	P	2004/0044197	03/04/04	Pandey et al.	540	140	06/27/03
	Q	2004/0044198	03/04/04	Pandey et al.	540	145	06/02/03
	R	4,521,762	06/04/85	Kapral	340	347	12/20/82
	S	4,577,636	03/25/86	Spears	128	654	01/27/84
	T	4,656,186	04/07/87	Bommer et al.	514	410	04/07/87
	U	4,675,338	06/23/87	Bommer et al.	514	410	07/18/84
	V	4,693,885	09/15/87	Bommer et al.	424	9.61	04/30/85
	W	4,753,958	06/28/88	Weinstein et al.	514	410	06/25/86
	X	4,861,876	08/29/89	Kessel	540	145	11/26/86
	Y	4,878,891	11/07/89	Judy et al.	604	5	06/25/87
	Z	4,925,736	05/15/90	Shikowitz	424	449	07/06/88
	AA	4,935,498	06/19/90	Sessler et al.	534	15	03/06/89
	AB	4,957,481	09/18/90	Gatenby	604	20	07/03/89
	AC	4,997,639	03/05/91	Aizawa et al.	424	9	11/27/89
✓	AD	5,004,811	04/02/91	Bommer et al.	540	145	12/24/87

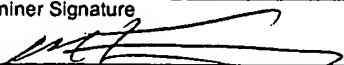
<b>Examiner Signature</b> 	<b>Date Considered</b> 7/14/05
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Substitute Form PTO-1019 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 17105-059001 (25886-0095)	Application No. 10/613,474
<b>List of Patents and Publications for Applicant's Information Disclosure Statement</b>  (37 CFR §1.98(b))		Applicant R. Pandey et al.	
		Filing Date July 2, 2003	Group Art Unit 1624

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
MB	AE	5,028,594	07/02/91	Carson	514	23	12/27/88
	AF	5,041,078	08/20/91	Matthes et al.	604	4	12/21/89
	AG	5,051,415	09/24/91	Moran et al.	514	185	08/02/89
	AH	5,053,006	10/01/91	Watson	604	52	04/02/90
	AI	5,066,274	11/19/91	Bommer et al.	604	20	05/12/89
	AJ	5,095,030	03/10/92	Levy et al.	514	410	09/28/89
	AK	5,216,012	06/01/93	Morgan et al.	514	410	09/23/91
	AL	5,298,018	03/29/94	Narciso, Jr.	604	21	08/14/92
	AM	5,308,861	05/03/94	Aizawa et al.	514	410	04/29/92
	AN	5,330,741	07/19/94	Smith et al.	424	9	02/24/92
	AO	5,368,841	11/29/94	Trauner et al.	424	9	02/11/93
	AP	5,430,051	07/04/95	Aizawa et al.	514	410	04/19/94
	AQ	5,441,531	08/15/95	Zarate et al.	607	90	10/18/93
	AR	5,484,803	01/16/96	Richter	514	410	02/02/95
	AS	5,500,009	03/19/96	Mendes et al.	607	88	09/27/93
	AT	5,514,669	05/07/96	Selman	514	63	09/29/93
	AU	5,534,506	07/09/96	Morgan et al.	514	185	06/20/94
	AV	5,549,660	08/27/96	Mendes et al.	607	088	11/12/92
	AW	5,556,612	09/17/96	Anderson et al.	424	59	03/15/94
	AX	5,567,409	10/22/96	Aizawa et al.	424	9.363	12/12/94
	AY	5,594,136	01/14/97	Sessler et al.	540	472	05/03/95
	AZ	5,705,518	01/06/98	Richter et al.	514	410	02/06/95
	BA	5,736,563	04/07/98	Richter	514	410	11/08/95
	BB	5,770,619	07/23/98	Richter et al.	514	410	11/01/95
	BC	5,824,080	10/20/98	Lamuraglia	623	11	09/04/96
	BD	5,829,448	11/03/98	Fisher et al.	128	898	10/30/96
	BE	5,832,931	11/10/98	Wachter et al.	128	898	10/30/96
	BF	5,851,225	12/22/98	Lawandy	607	88	11/13/96
	BG	5,885,557	03/23/99	Lentini	424	59	02/08/96
Y	BH	5,913,884	06/22/99	Trauner et al.	607	88	10/31/96

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 17105-059001 (25886-0095)	Application No. 10/613,474
List of Patents and Publications for Applicant's Information Disclosure Statement  (37 CFR §1.98(b))		Applicant R. Pandey et al.	
		Filing Date July 2, 2003	Group Art Unit 1624

## U.S. Patent Documents

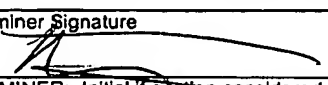
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	BI	5,942,534	08/24/99	Trauner et al.	514	410	10/10/97
	BJ	5,944,748	08/31/99	Mager et al.	607	88	07/25/97
	BK	5,976,535	11/02/99	Fritzberg et al.	424	182.1	06/06/95
	BL	5,998,597	12/07/99	Fisher et al.	536	23.1	12/11/97
	BM	6,036,941	03/14/00	Bottiroli et al.	424	9.6	05/11/98
	BN	6,048,359	04/11/00	Biel	607	92	08/25/98
	BO	6,063,108	05/16/00	Salansky et al.	607	89	01/06/97
	BP	6,063,777	05/16/00	Hikida et al.	514	183	06/15/99
	BQ	6,090,788	07/18/00	Lurie	514	23	06/30/99
	BR	6,107,466	08/22/00	Hasan et al.	530	351	09/24/98
	BS	6,117,862	09/12/00	Margaron et al.	514	185	11/09/98
	BT	6,152,951	11/28/00	Hashimoto et al.	607	92	02/17/98
	BU	6,162,242	12/19/00	Peyman	607	88	01/21/99
	BV	6,187,030	02/13/01	Gart et al.	607	93	11/05/98
	BW	6,454,789	09/24/02	Chen et al.	607	88	01/15/99
	BX	6,624,187	09/23/03	Pandey et al.	514	410	06/12/00
	BY	6,657,351	12/02/03	Chen et al.	310	171	07/20/01

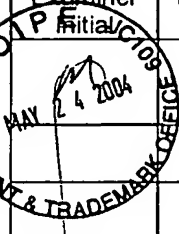
## Foreign Patent Documents or Published Foreign Patent Applications

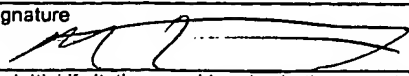
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	BZ	00/61584 A1	10/19/00	PCT				
	CA	01/74398 A1	10/11/01	PCT				
	CB	02/098882 A1	12/12/02	PCT				
	CC	03/050082 A2	06/19/03	PCT				
	CD	2004/002476 A2	01/08/04	PCT				
	CE	2004/005289 A2	01/15/04	PCT				

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	CF	Anderson et al. "Photodynamic therapy for sarcoma pulmonary metastases: a preclinical toxicity study," <i>Anticancer Res.</i> 23:3713-3718 (2003).

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				Filing Date July 2, 2003		Group Art Unit 1624		
				Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial/ID	Desig. ID	Document						
	CG	Certified English Translation of: Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e4 and Mesochlorin e6]," <i>Berichte der Deutschen Chemischen</i> 75:1778-1795 (1942)						
	CH	Chen et al., "New directions in photodynamic therapy," <i>ICCP-2. 2nd International Conference on Porphyrins and Phthalocyanines</i> , 2002 30 June - 5 July; Kyoto, Japan: 78 [abstract S-26]						
	CI	Chen et al., "New technology for deep light distribution in tissue for phototherapy," <i>Cancer J</i> 8(2):154-163. (2002)						
	CJ	Chen et al., "Next-generation light delivery system for multitreatment extended-duration photodynamic therapy (MED-PDT)," <i>Proc SPIE</i> 2972:161-166 (1997).						
	CK	Database Crossfire Beilstein, Database Accession No. 4286587 (Reaction ID), for Levinson, E.G. et al., <i>Russ. J. Bioorg. Chem (Engl. Transl.)</i> 21(3):199-203 (1995) in Russian in the <i>Bioorg. Khim.</i> 21(3):230-234 (1995)						
	CL	Derwent English Abstract, Accession No. 1996-475153, citing Russian Patent RU 2054944 C, published February 27, 1996, "Production of purpurin-18 for treatment of tumours - comprises extracting vegetable waste with ethanol, oxidative splitting, degreasing and purifying".						
	CM	Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e4 and Mesochlorin e6]," <i>Berichte der Deutschen Chemischen</i> 75:1778-1795 (1942)						
	CN	Haslam et al., "Recent Developments in Methods for the Esterification and Protection of the Carboxyl Group," <i>Tetrahedron</i> 36: 2409-2433 (1980)						
	CO	Jones et al., "Photodynamic therapy for patients with advanced non-small-cell carcinoma of the lung," <i>Clin Lung Cancer</i> . 3(1):37-41 (2001)						
	CP	Li et al., "Application of Ruppert's reagent in preparing novel perfluorinated porphyrins, chlorins and bacteriochlorins", <i>J. Chem. Soc. Perkin Trans 1</i> , 1785-1787 (1999)						
	CQ	Li et al., "Synthesis, comparative photosensitizing efficacy, human serum albumin (site II) binding ability, and intracellular localization characteristics of novel benzobacteriochlorins derived from vic-dihydroxybacteriochlorins," <i>J Med Chem.</i> 46(25):5349-5359 (2003)						
	CR	Lustig et al., "A multicenter Phase I safety study of intratumoral photoactivation of talaporfin sodium in patients with refractory solid tumors," <i>Cancer</i> 98(8):1767-71 (2003)						
	CS	Patent Abstract of Japan citing Japanese Patent Application JP 09124652, published May 13, 1997, "Porphyrin Derivative and Use Thereof".						
	CT	Schmidt-Erfurth et al., "Photodynamic therapy of subfoveal choroidal neovascularization: clinical and angiographic examples," <i>Graefe's Arch Clin Exp Ophthalmol.</i> 236:365-374 (1998)						
	CU	Schmidt-Erfurth et al., "Vascular Targeting in Photodynamic Occlusion of Subretinal Vessels," <i>Ophthalmology</i> 101:1953-1961 (1994)						
	CV	Smith et al., "Bacteriochlorophylls c from <i>Chloropseudomonas ethylicum</i> . Composition and NMR Studies of the Pheophorbides and Derivatives", <i>Am. Chem. Soc.</i> , 102(7):2437-2448 (1980)						
	CW	Zheng et al., "Chlorin-based symmetrical and unsymmetrical dimers with amide linkages: effect of the substituents on photodynamic and photophysical properties," <i>J. Chem. Soc. Perkins 1</i> , pp.3113-3121 (2000)						
	8	CX	Zheng et al., "PDT using a novel LED light source and LS11 in a rat liver model," <i>30th Annual Meeting of the American Society for Photobiology</i> ; 2002 13-17 July; Quebec City, Canada. <i>American Society for Photobiology</i> : 33 [abstract 95]					

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